ESTTA Tracking number:

ESTTA412220 06/01/2011

Filing date:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

# **Notice of Opposition**

Notice is hereby given that the following party opposes registration of the indicated application.

# **Opposer Information**

Name	The Travelers Indemnity Company
Granted to Date of previous extension	06/01/2011
Address	One Tower Square Hartford, CT 06183 UNITED STATES

Attorney	Michael R. Justus
information	Finnegan, Henderson, Farabow, Garrett & Dunner, LLP
	901 New York Ave., NW
	Washington, DC 20001
	UNITED STATES
	michael.justus@finnegan.com, docketing@finnegan.com,
	larry.white@finnegan.com, judy.valusek@finnegan.com Phone:202-408-4000

# **Applicant Information**

Application No	85072244	Publication date	02/01/2011
Opposition Filing Date	06/01/2011	Opposition Period Ends	06/01/2011
Applicant	Credit Umbrella Inc 655 N Central Ave Suite 1700 Glendale, CA 91203 UNITED STATES		

# Goods/Services Affected by Opposition

Class 009.

All goods and services in the class are opposed, namely: Computer software for credit repair

# **Applicant Information**

Application No	85072300	Publication date	02/01/2011
Opposition Filing Date	06/01/2011	Opposition Period Ends	
Applicant	Credit Umbrella Inc 655 N Central Ave Suite 1700 Glendale, CA 91203 UNITED STATES		

# Goods/Services Affected by Opposition

Class 009.

All goods and services in the class are opposed, namely: Computer software for credit repair

# **Applicant Information**

Application No	85072426	Publication date	02/01/2011
Opposition Filing Date	06/01/2011	Opposition Period Ends	
Applicant	Credit Umbrella Inc 655 N Central Ave Suite 1700 Glendale, CA 91203 UNITED STATES		

# Goods/Services Affected by Opposition

Class 009.

All goods and services in the class are opposed, namely: Computer software for credit repair

# **Grounds for Opposition**

Priority and likelihood of confusion	Trademark Act section 2(d)	
Dilution	Trademark Act section 43(c)	

# Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	1161313	Application Date	12/19/1979
Registration Date	07/14/1981	Foreign Priority Date	NONE
Word Mark	NONE	-	
Design Mark	J		
Description of Mark	NONE		
Goods/Services	Class 036. First use: First Use: 1961/00/00 First Use In Commerce: 1961/00/00 Underwriting Life, Accident and Health, Casualty, Fire, Marine and Inland Marine Insurance Services, and Fidelity, Surety and Guaranty Bonding Services, Mutual Funds Services, Variable Annuities Services, Agency and Brokerage Services in Connection with the Preceding Named Services, and Counseling in Regard to Accident Prevention		

U.S. Registration No.	3417048	Application Date	03/15/2007
Registration Date	04/29/2008	Foreign Priority Date	NONE
Word Mark	NONE		

Design Mark	
Description of Mark	The mark consist of an upright, open umbrella with handle turned to left.
Goods/Services	Class 036. First use: First Use: 1961/00/00 First Use In Commerce: 1961/00/00
	Insurance underwriting services [ for all types of insurance, ] * in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; * insurance claims services and administration [, ] *; * insurance claims processing [, ] insurance claims adjusting [, ] *; * insurance agency and brokerage * services * [, ] *, namely, policyholder services and services to agents and brokers; * providing information in insurance matters [, ] *; * risk management and risk management consultation

U.S. Registration No.	3417049	Application Date	03/15/2007
Registration Date	04/29/2008	Foreign Priority Date	NONE
Word Mark	NONE		
Design Mark	<b>J</b>		
Description of Mark	The mark consists of an upri	ght, open umbrella wi	th handle turned to the left.
Goods/Services			se In Commerce: 1961/00/00
	Insurance underwriting services [ for all types of insurance, ] * in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; * insurance claims services and administration [, ] *; * insurance claims processing [, ] *; * insurance claims adjusting [, ] *; * insurance agency and brokerage * services, namely, policyholder services and services to agents and		

brokers; * [, ] providing information in insurance matters[, ] * ; 8 risk management
and risk management consultation

U.S. Registration No.	3494647	Application Date	04/02/2007
Registration Date	09/02/2008	Foreign Priority Date	NONE
Word Mark	TRAVELERS		
Design Mark	TRAVELERS		
Description of Mark	The mark consists of the word		
Goods/Services			se In Commerce: 2007/02/27
	Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services  Class 036. First use: First Use: 2007/02/27 First Use In Commerce: 2007/02/27  [Insurance and financial services, namely, ] insurance underwriting services [ for all types of insurance, ] * in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; *vclaims adjustment in the field of insurance [, ] *; * insurance claims processing and administration; risk control and loss prevention consultation; risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services *, namely, policyholder services and services to agents and brokers *; providing information in insurance matters; and medical claim forms and payment of medical claims  Class 041. First use: First Use: 2007/02/27 First Use In Commerce: 2007/02/27 Educational services, namely, providing training programs in the field of insurance  Class 042. First use: First Use: 2007/02/27 First Use In Commerce: 2007/02/27 Providing on-line non-downloadable software in the field of insurance		
	Providing on-line non-downlo	adable software in th	e field of insurance

U.S. Registration No.	3494648	Application Date	04/02/2007
Registration Date	09/02/2008	Foreign Priority Date	NONE
Word Mark	TRAVELERS		•

Design Mark	TRAVELERS
Description of Mark	The mark consists of the word "Travelers" in black with a red umbrella at the end of the word.
Goods/Services	Class 035. First use: First Use: 2007/02/27 First Use In Commerce: 2007/02/27 Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services  Class 036. First use: First Use: 2007/02/27 First Use In Commerce: 2007/02/27  [Insurance and financial services, namely, ] insurance underwriting services [ for all types of insurance, ] * in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; * claims adjustment in the field of insurance, insurance claims processing and administration, risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services *, namely, policyholder services and services to agents and brokers *; providing information in insurance matters; and medical care coordination, namely, assisting employers and providers in processing medical claim forms and payment of medical claims  Class 041. First use: First Use: 2007/02/27 First Use In Commerce: 2007/02/27 Educational services, namely, providing training programs in the field of insurance
	Providing on-line non-downloadable software in the field of insurance

Attachments	73243483#TMSN.gif ( 1 page )( bytes )	
	77131466#TMSN.jpeg ( 1 page )( bytes )	
	77131469#TMSN.jpeg ( 1 page )( bytes )	
	77146213#TMSN.jpeg ( 1 page )( bytes )	
	77146226#TMSN.jpeg ( 1 page )( bytes )	
	New Credit Umbrella Notice of Opposition Part 1 of 2.pdf (11 pages)(4568437	
	bytes)	
	New Credit Umbrella Notice of Opposition Part 2 of 2.pdf (39 pages)(1418517	
	bytes)	

# **Certificate of Service**

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/Michael R. Justus/
Name	Michael R. Justus

Date	06/01/2011

Attorney Docket: 11057.0048

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

THE TRAVELERS INDEMNITY COMPANY,

Opposer

٧.

CREDIT UMBRELLA, INC.

Applicant.

Consolidated Opposition No.:



Mark:

Serial No. 85072244 Filed: June 25, 2010



Mark:

Serial No. 85072300 Filed: June 26, 2010



Mark:

Serial No. 85072426 Filed: June 27, 2010

## **CONSOLIDATED NOTICE OF OPPOSITION**

The Travelers Indemnity Company ("Travelers"), a corporation of the State of Connecticut, having a principal place of business at One Tower Square, Hartford, Connecticut 06183, believes that it is being damaged, and will be damaged, by the registration of the marks shown above in Application Serial Nos. 85072244, 85072300,

and 85072426, and hereby opposes the same. As grounds for opposition, Travelers alleges that, upon actual knowledge with respect to Travelers' own acts, and upon information and belief as to other matters:

## Travelers and Its Umbrella Mark

- Opposer, The Travelers Indemnity Company, is a corporation of the State of Connecticut, having a principal place of business at One Tower Square, Hartford, Connecticut 06183.
- 2. Travelers, through its predecessors and related companies (collectively "Travelers"), has been in the insurance business for more than 130 years. It has long been one of the leading providers of a wide variety of insurance and surety products and services to businesses, organizations, and individuals in the United States.
- 3. Travelers currently uses the umbrella trademark shown below as its corporate logo and as a trademark for its insurance, surety, risk management, and other financial products and services. Travelers has used the umbrella trademark shown below or substantially identical versions (collectively, the "Travelers Umbrella") in connection with its insurance and financial services for decades. Travelers first used the Travelers Umbrella as early as February 1960.



4. Travelers owns, among others, the following valid and subsisting United States trademark registrations for the marks shown below (collectively, the "Travelers

Umbrella"). Printouts from the PTO TESS/TARR and Assignment databases are attached as Exhibit A.

Mark	Reg. No. Reg. Date App. Date	First Use First Use in Commerce	Services
J	1161313 07-14-1981 12-19-1979	1961 1961	Underwriting life, accident and health, casualty, fire, marine and inland marine insurance services, and fidelity, surety and guaranty bonding services, mutual funds services, variable annuities services, agency and brokerage services in connection with the preceding named services, and counseling in regard to accident prevention in Class 36
<b>T</b>	3417048 04-29-2008 03-15-2007	1961 1961	Insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; insurance claims services and administration; insurance claims processing; insurance claims adjusting; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; risk management and risk management consultation in Class 36
J	3417049 04-29-2008 03-15-2007	1961 1961	Insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; insurance claims services

Mark	Reg. No. Reg. Date App. Date	First Use First Use in Commerce	Services
			and administration; insurance claims processing; insurance claims adjusting; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; risk management and risk management consultation in Class 36
TRAVELERS	3494647 09-02-2008 04-02-2007	02-27-2007 02-27-2007	Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services in Class 35
			Insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; claims adjustment in the field of insurance; insurance claims processing and administration; risk control and loss prevention consultation; risk control and loss prevention services, namely, risk
			management; electronic processing of insurance claims and payment data; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; and medical care coordination, namely, assisting employers and

Mark	Reg. No. Reg. Date App. Date	First Use First Use in Commerce	Services
			providers in processing medical claim forms and payment of medical claims in Class 36
			Educational services, namely, providing training programs in the field of insurance in Class 41
			Providing on-line non-downloadable software in the field of insurance in Class 42
TRAVELERS	3494648 09-02-2008 04-02-2007	02-27-2007 02-27-2007	Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services in Class 35
			Insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; claims adjustment in the field of insurance; insurance claims processing and administration; risk control and loss prevention consultation; risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance

Mark	Reg. No. Reg. Date App. Date	First Use First Use in Commerce	Services
			matters; and medical care coordination, namely, assisting employers and providers in processing medical claim forms and payment of medical claims in Class 36
			Educational services, namely, providing training programs in the field of insurance in Class 41
			Providing on-line non-downloadable software in the field of insurance in Class 42

- 5. The foregoing registrations in Paragraph 4 are valid and subsisting.

  These registrations constitute *prima facie* evidence and conclusive proof of Travelers' ownership of and exclusive rights to use the marks in connection with the services recited in the registrations.
- 6. As part of the insurance products and services set forth in Paragraphs 2-4 above, Travelers uses the Travelers Umbrella mark in commerce in connection with identity theft and identity fraud protection and coverage, which includes assistance with credit repair, credit restoration, and credit monitoring services and resources. These fraud resolution services and resources include obtaining credit reports, assisting with fraud-alert placements, and preparing and handling dispute letters regarding credit and fraud-related issues.
- 7. Travelers has enjoyed significant commercial success under the Travelers
  Umbrella in connection with its identify theft and identity fraud products and services,
  including revenues of tens of millions of dollars. Travelers also actively promotes its

identity theft and identity fraud products and services. Attached as Exhibit B are examples of such promotional materials.

- 8. Travelers has also used and is using the Travelers Umbrella in commerce in connection with computer software related to insurance products and services.
- 9. Travelers has established considerable and valuable trademark rights and goodwill in the Travelers Umbrella mark by virtue of its long use of the mark, its substantial promotional and marketing efforts, its expenditure of vast sums in advertising and promotional activities, the strong sales of services offered in connection with the mark, and third-party acclaim and attention.
- 10. Revenues generated from products and services offered, sold, and advertised in connection with the Travelers Umbrella mark over the years have been immense. For example, Travelers has earned revenues of tens of billions of dollars since it adopted the Travelers Umbrella mark, including revenues exceeding \$75 billion dollars since 2007. Further, Travelers has expended many millions of dollars over the years advertising and promoting its services under the Travelers Umbrella, including tens of millions of dollars each year in recent years.
- 11. As a result of the long and extensive use of the Travelers Umbrella mark, and its significant sales, promotion, advertising, third-party acclaim, and commercial success, the Travelers Umbrella mark has achieved such widespread public exposure and recognition that it possesses a high degree of distinctiveness and has been well-known and famous in the United States for many years, including well prior to the Applicant's filing dates and any dates of first use that Applicant can prove.

## **Applicant and its Marks**

- 12. Applicant, Credit Umbrella, Inc. ("Applicant"), is a corporation of the state of California with an address of 655 N. Central Avenue, Suite 1700, Glendale, California 91203-1439.
- 13. Applicant is the listed owner of intent-to-use Application Serial No. 85072244, filed on June 25, 2010, for the CREDIT UMBRELLA and Umbrella Design mark shown below; intent-to-use Application Serial No. 85072300, filed on June 26, 2010, for the Umbrella Design mark shown below; and intent-to-use Application Serial No. 85072426, filed on June 27, 2010, for the CREDIT UMBRELLA and Umbrella Design mark shown below (collectively, "Applicant's Marks"). Each application covers "computer software for credit repair" in International Class 9.







Serial No. 85072244

Serial No. 85072300

Serial No. 85072426

#### **COUNT I: LIKELIHOOD OF CONFUSION**

- 14. Travelers repeats and realleges each and every allegation set forth in Paragraphs 1 through 13.
- 15. Travelers has priority based on its pleaded valid and subsisting priorissued registrations for the Travelers Umbrella mark. Moreover, the Travelers Umbrella mark has been in continuous use in commerce since before the filing dates of

Applicant's Application Serial Nos. 85072244, 85072300, and 85072426, and before any dates of first use that may be alleged by Applicant.

- 16. The goods covered by Application Serial Nos. 85072244, 85072300, and 85072426 are identical or related to the goods and services offered and sold by Travelers in connection with its Travelers Umbrella mark.
- 17. Applicant's Marks so resemble Travelers' prior used and registered Travelers Umbrella mark as to be likely, when used in connection with Applicant's goods, to cause confusion, or to cause mistake, or to deceive under Section 2(d) of the Lanham Act, as amended, 15 U.S.C. § 1052(d).

#### **COUNT II: DILUTION**

- 18. Travelers repeats and realleges each and every allegation set forth in Paragraphs 1-17.
- 19. The Travelers Umbrella mark became famous before the filing dates of Application Serial Nos. 85072244, 85072300, and 85072426, and any dates of first use that may be alleged by Applicant.
- 20. In view of the similarities of the parties' marks and the fame of the Travelers Umbrella mark, Applicant's Marks so closely resemble Travelers' famous Travelers Umbrella mark that they are likely to dilute the distinctive quality of the Travelers Umbrella mark in violation of Section 43(c) of the Lanham Act, 15 U.S.C. § 1125(c).

WHEREFORE, Travelers believes that it will be damaged by the registration of the marks shown in Application Serial Nos. 85072244, 85072300, and 85072426, and

Consolidated Notice of Opposition Serial Nos. 85072244, 85072300, and 85072426

requests that this consolidated opposition be sustained, and that registration to Applicant be refused.

A filing fee has been submitted electronically. If the filing fee is found to be insufficient for any reason, please charge such deficiency to our Deposit Account No. 06-0916.

Respectfully Submitted,

Dated: June 1, 2011

By: \_\_\_\_\_\_ David M. Kelly Linda K. McLeod

Michael R. Justus

FINNEGAN HENDERSON FARABOW

GARRETT & DUNNER, LLP 901 New York Avenue, N.W. Washington, D.C. 20001-4413

Telephone: (202) 408-4000 Facsimile: (202) 408-4400

Attorneys for Opposer

The Travelers Indemnity Company

# **CERTIFICATE OF SERVICE**

I certify that a true and accurate copy of the foregoing CONSOLIDATED NOTICE OF OPPOSITION was served by first-class mail, postage prepaid on this 1<sup>st</sup> day of June 2011, upon Applicant at the following address of record:

Credit Umbrella, Inc. 655 N. Central Avenue Suite 1700 Glendale, California 91203-1439

# **Exhibit A**



#### **United States Patent and Trademark Office**

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# Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Wed May 18 04:05:43 EDT 2011

NEW USER STRUCTURED FREE FORM BROWNER DIG SEARCH OG

Воттом

Please logout when you are done to release system resources allocated for you.

# Record 1 out of 1

TARR Status

ASSIGN Status

TOR

TTAB Status

( Use the "Back" button of the Internet

Browser to return to TESS)



Goods and Services

IC 036, US 102, G & S: Underwriting Life, Accident and Health, Casualty, Fire, Marine and Inland Marine Insurance Services, and Fidelity, Surety and Guaranty Bonding Services, Mutual Funds Services, Variable Annuities Services, Agency and Brokerage Services in Connection with the Preceding Named Services, and Counseling in Regard to Accident Prevention. FIRST USE: 19610000. FIRST USE IN COMMERCE: 19610000

**Mark Drawing** 

Code

(2) DESIGN ONLY

**Design Search** 

Code

10.03.03 - Parasols; Umbrellas

Serial Number

73243483

**Filing Date** 

December 19, 1979

**Current Filing** 

**Basis** 

**Original Filing** 

**Basis** 

1A

**Published for** Opposition

April 21, 1981

Change In Registration

CHANGE IN REGISTRATION HAS OCCURRED

Registration Number

1161313

Registration

July 14, 1981

**Date** 

Owner

(REGISTRANT) Travelers Insurance Company, The CORPORATION CONNECTICUT One Tower Sq.

Hartford CONNECTICUT 06115

(LAST LISTED OWNER) THE TRAVELERS INDEMNITY COMPANY CORPORATION CONNECTICUT

ONE TOWER SQUARE HARTFORD CONNECTICUT 06183

**Assignment** Recorded

ASSIGNMENT RECORDED

**Prior** 

0782580;0807053;1017602 Registrations

**Description of** 

Mark

The drawing is lined for the color red which is claimed as a distinguishing feature of the mark.

Type of Mark

SERVICE MARK

Register

**PRINCIPAL** 

Affidavit Text

SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20021115.

Renewal

1ST RENEWAL 20021115

Live/Dead

Indicator

LIVE

TESS HOME NEW USER STRUCTURED FREE FORM BROWS DICT SEARCH OG HELP

| HOME | SITE INDEX | SEARCH | BUSINESS | HELP | PRIVACY POLICY

## Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2011-05-18 15:48:02 ET

Serial Number: 73243483 Assignment Information Trademark Document Retrieval

Registration Number: 1161313

Mark



Standard Character claim: No

Current Status: The registration has been renewed.

Date of Status: 2002-11-15

Filing Date: 1979-12-19

Transformed into a National Application: No

Registration Date: 1981-07-14

**Register:** Principal

Law Office Assigned: (NOT AVAILABLE)

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 40S -Scanning On Demand

Date In Location: 2009-03-16

## LAST APPLICANT(S)/OWNER(S) OF RECORD

#### 1. THE TRAVELERS INDEMNITY COMPANY

#### Address:

THE TRAVELERS INDEMNITY COMPANY ONE TOWER SQUARE HARTFORD, CT 06183 United States

Legal Entity Type: Corporation

#### GOODS AND/OR SERVICES

International Class: 036 Class Status: Active

Underwriting Life, Accident and Health, Casualty, Fire, Marine and Inland Marine Insurance Services, and Fidelity, Surety and Guaranty Bonding Services, Mutual Funds Services, Variable Annuities Services, Agency and Brokerage Services in Connection with the Preceding Named Services, and Counseling in

Regard to Accident Prevention

Basis: 1(a)

First Use Date: 1961-00-00

First Use in Commerce Date: 1961-00-00

#### ADDITIONAL INFORMATION

Lining and Stippling: The drawing is lined for the color red which is claimed as a distinguishing feature of the mark.

**Design Search Code(s):** 

10.03.03 - Parasols; Umbrellas

## Prior Registration Number(s):

1017602 7825800 8070530

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2010-03-30 - Notice Of Suit

2010-03-02 - Review Of Correspondence Complete

2010-03-02 - Case Assigned To Post Registration Paralegal

2009-03-16 - Case File In TICRS

2007-02-28 - Automatic Update Of Assignment Of Ownership

2007-02-14 - Assignment Of Ownership Not Updated Automatically

2004-06-10 - TEAS Change Of Correspondence Received

- 2002-11-15 First renewal 10 year
- 2002-11-15 Section 8 (10-year) accepted/ Section 9 granted
- 2002-10-25 PAPER RECEIVED
- 2002-05-17 Section 7 amendment issued
- 2002-03-22 Response received to Post Registration action Sections 8 & 9
- 2002-03-22 Response received to Post Registration action Sections 8 & 9
- 2002-03-08 Post Registration action mailed Sections 8 & 9
- 2001-12-27 Section 7 amendment filed
- 2001-12-27 Combined Section 8 (10-year)/Section 9 filed
- 2001-12-27 Combined Section 8 (10-year)/Section 9 filed
- 1987-06-09 Section 8 (6-year) accepted & Section 15 acknowledged
- 1987-01-05 Section 8 (6-year) and Section 15 Filed
- 1981-07-14 Registered Principal Register
- 1981-04-21 Published for opposition

#### ATTORNEY/CORRESPONDENT INFORMATION

### Correspondent

Sean P. Merrill, Esq. McCarter & English, LLP CityPlace I 185 Asylum Street Hartford CT 06103-3495 Phone Number: 860.275.6701 Fax Number: 860.724.3397



### **United States Patent and Trademark Office**

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## Assignments on the Web > <u>Trademark Query</u>

# **Trademark Assignment Abstract of Title**

**Total Assignments: 14** 

Serial #: 73243483

Filing Dt: 12/19/1979

Reg #: 1161313

Reg. Dt: 07/14/1981

Registrant: Travelers Insurance Company, The

Mark:

Assignment: 1

Reel/Frame: 0548/0449

Received:

Recorded: 01/02/1987

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

**Assignor: TRAVELERS INSURANCE COMPANY THE** 

Exec Dt: 12/30/1986
Entity Type: CORPORATION
Citizenship: CONNECTICUT
Entity Type: CORPORATION

Citizenship: CONNECTICUT

Assignee: TRAVELERS CORPORATION THE

ONE TOWER SQUARE

HARTFORD, CONNECTICUT 06183

Correspondent: CHILTON, ALIX & VAN KIRK

SUITE 610 750 MAIN STREET HARTFORD, CT 06103

Assignment: 2

Reel/Frame: 1144/0413

Received:

Recorded: 05/02/1994

Pages: 5

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: TRAVELERS CORPORATION, THE

Entity Type: CORPORATION Citizenship: CONNECTICUT Entity Type: CORPORATION

Citizenship: CONNECTICUT

Exec Dt: 12/30/1993

Assignee: TRAVELERS INSURANCE GROUP INC., THE ONE TOWER SQUARE

HARTFORD, CONNECTICUT 06183

Correspondent: THE TRAVELERS

THE TRAVELERS
DANIEL JACKSON

ONE TOWER SQUARE, 5CR HARTFORD, CONNECTICUT 06183

Assignment: 3

Reel/Frame: 1410/0163

Received: 12/11/1995

Recorded: 11/24/1995

Pages: 3

Conveyance: MERGER

Assignor: TRAVELERS CORPORATION, THE

Exec Dt: 12/30/1993
Entity Type: CORPORATION

Assignee: PRIMERICA CORPORATION
388 GREENWICH STREET

NEW YORK, NEW YORK 10013

Citizenship: CONNECTICUT Entity Type: CORPORATION Citizenship: DELAWARE

Correspondent: JAMES E. ALIX

CHILTON, ALIX & VAN KIRK

750 MAIN STREET HARTFORD, CT 06103

Assignment: 4

Reel/Frame: 1410/0175

Received: 12/11/1995

Recorded: 11/24/1995

Pages: 3

Conveyance: CHANGE OF NAME

Assignor: PRIMERICA CORPORATION

Exec Dt: 12/30/1993
Entity Type: CORPORATION

Citizenship: DELAWARE **Entity Type: CORPORATION** 

Assignee: IRAVELERS, INC., THE

388 GREENWICH STREET

Citizenship: DELAWARE NEW YORK, NEW YORK 10013

Correspondent: JAMES E. ALIX

CHILTON, ALIX & VAN KIRK

750 MAIN STREET HARTFORD, CT 06103

Assignment: 5

Reel/Frame: 2384/0898 Received: 12/19/2001 Recorded: 12/19/2001 Pages: 3

Conveyance: NULLIFICATION OF RECORDAL REEL/FRAME 1410/0163

Assignor: PRIMERICA CORPORATION Exec Dt: 12/30/1993

> **Entity Type: CORPORATION** Citizenship: DELAWARE Entity Type: CORPORATION

Citizenship: CONNECTICUT

Assignee: THE TRAVELERS CORPORATION

388 GREENWICH STREET NEW YORK, NEW YORK 10013

Correspondent: CITIGROUP INC.

**EILEEN KENNEDY** 425 PARK AVENUE 2ND FLOOR/ZONE 1 NEW YORK NY 10043

Assignment: 6

Reel/Frame: 2415/0758 Received: 01/03/2002 Recorded: 12/22/2001 Pages: 4

Conveyance: NULLIFICATION OF RECORDAL REEL 1410, FRAME 0163

Assignor: PRIMERICA CORPORATION Exec Dt: 12/30/1993

> **Entity Type: CORPORATION** Citizenship: DELAWARE **Entity Type: CORPORATION** Citizenship: CONNECTICUT

Assignee: TRAVELERS CORPORATION, THE 388 GREENWICH STREET

NEW YORK, NEW YORK 10013

Correspondent: CITIGROUP INC.

**EILEEN KENNEDY** 425 PARK AVENUE 2ND FLOOR/ZONE 1 NEW YORK, NY 10043

Assignment: 7

Reel/Frame: 2415/0772 Received: 01/03/2002 Recorded: 12/22/2001 Pages: 8

Conveyance: NULLIFICATION OF RECORDAL (REEL 1410, FRAME 0175)

Assignor: TRAVELERS, INC., THE Exec Dt: 12/30/1993

> **Entity Type: CORPORATION** Citizenship: DELAWARE **Entity Type: CORPORATION** Citizenship: DELAWARE

Assignee: PRIMERICA CORPORATION

388 GREENWICH STREET NEW YORK, NEW YORK 10013

Correspondent: CITIGROUP INC.

**EILEEN KENNEDY** 2ND FLOOR/ZONE 1 **425 PARK AVENUE** NEW YORK, NY 10043

Assignment: 8

Reel/Frame: 1429/0128 Received: 12/11/1995 Recorded: 11/23/1995 Pages: 3

Conveyance: CHANGE OF NAME

Assignor: TRAVELERS, INC., THE Exec Dt: 04/26/1995

> **Entity Type: CORPORATION** Citizenship: DELAWARE

Assignee: TRAVELERS GROUP, INC. **Entity Type: CORPORATION**  388 GREENWICH STREET

**NEW YORK, NEW YORK 10013** 

Correspondent: CHILTON, ALIX & VAN KIRK

JAMES E. ALIX 750 MAIN STREET HARTFORD, CT 06103

**Assignment: 9** 

Reel/Frame: 2415/0762

Received: 01/03/2002

Recorded: 12/22/2001

Pages: 10

Conveyance: PARTIAL NULLIFICATION OF A DOCUMENT RECORDED AT REEL 1429 FRAME 0128

Assignor: TRAVELERS GROUP, INC.

Exec Dt: 04/26/1995

Citizenship: DELAWARE

**Entity Type: CORPORATION** Citizenship: DELAWARE

Assignee: THE TRAVELERS, INC.

388 GREENWICH STREET

NEW YORK, NEW YORK 10013

**Entity Type: CORPORATION** Citizenship: DELAWARE

Correspondent: CITIGROUP INC.

**ELIEEN KENNEDY** 2ND FLOOR/ZONE I 425 PARK AVENUE

**NEWYORK, NEWYORK 10043** 

Assignment: 10

Reel/Frame: 2384/0891

Received: 12/19/2001

Recorded: 12/19/2001

Pages: 4

Conveyance: NULLIFICATION OF RECORDAL (REEL/FRAME NOS. 141070175)

Assignor: TRAVELERS, INC., THE

Exec Dt: 12/19/2001

Entity Type: NULLIFICATION OF RECORDAL

Citizenship: NONE

Assignee: PRIMERICA CORPORATION

388 GREENWICH STREET

NEW YORK, NEW YORK 10013

Entity Type: CORPORATION Citizenship: DELAWARE

Correspondent: CITIGROUP INC.

**EILEEN KENNEDY 425 PARK AVENUE** 2ND FLOOR/ZONE 1 NEW YORK, NY 10043

Assignment: 11

Reel/Frame: 2575/0491

Received: 11/14/2002

Recorded: 11/14/2002

Pages: 4

Conveyance: CHANGE OF NAME

Assignor: TRAVELERS INSURANCE GROUP INC.

**Entity Type: CORPORATION** Citizenship: CONNECTICUT **Entity Type: CORPORATION** 

Citizenship: CONNECTICUT

Exec Dt: 02/01/2002

Assignee: TRAVELERS PROPERTY CASUALTY CORP.

ONE TOWER SQUARE

HARTFORD, CONNECTICUT 06183

Correspondent: CUMMINGS & LOCKWOOD

SEAN P. MERIL\_, ESQ. **700 STATE STREET POST OFFICE BOX 1960** NEW HAVEN, CT 06509-1960

Assignment: 12

Reel/Frame: 2575/0864

Received: 11/15/2002

Recorded: 11/15/2002

Pages: 8

Conveyance: ASSIGNS THE ENTIRE INTEREST

Assignor: TRAVELERS PROPERTY CASUALTY CORP.

Entity Type: CORPORATION Citizenship: CONNECTICUT

Assignee: TRAVELERS INSURANCE COMPANY, THE

ONE TOWER SQUARE

HARTFORD, CONNECTICUT 06183

Exec Dt: 03/18/2002

**Entity Type: CORPORATION** Citizenship: CONNECTICUT Correspondent: CUMMINGS & LOCKWOOD

SEAN P. MERRILL, ESQ. 700 STATE STREET POST OFFICE BOX 1960 NEW HAVEN, CT 06509-1960

**Assignor: THE TRAVELERS INSURANCE COMPANY** 

**Assignment: 13** 

Reel/Frame: 3479/0287

Received: 02/09/2007

Recorded: 02/09/2007

Pages: 11

Conveyance: TIC TRADEMARK ASSIGNMENT AGREEMENT

Exec Dt: 06/30/2005

Entity Type: CONNECTICUT LIFE INSURANCE

COMPANY

Citizenship: NONE

Citizenship: GEORGIA

Entity Type: CORPORATION

Assignee: CITIGROUP INSURANCE HOLDING CORPORATION

399 PARK AVENUE

NEW YORK, NEW YORK 10043

Correspondent: BRUCE GOLDNER

4 TIMES SQUARE NEW YORK, NY 10036

Assignment: 14

Reel/Frame: 3487/0156

Received: 02/23/2007

Recorded: 02/23/2007

Pages: 8

Conveyance: ASSIGNS THE ENTIRE INTEREST

Assignor: CITIGROUP INSURANCE HOLDING CORPORATION

Exec Dt: 02/23/2007

**Entity Type: CORPORATION** Citizenship: GEORGIA

**Entity Type: CORPORATION** 

Citizenship: CONNECTICUT

Assignee: THE TRAVELERS INDEMNITY COMPANY

ONE TOWER SQUARE

HARTFORD, CONNECTICUT 06183

Correspondent: KIRSTIE HOWARD, ESQ.

SIMPSON THACHER & BARTLETT LLP

**425 LEXINGTON AVENUE** NEW YORK, NY 10017

Search Results as of: 05/18/2011 03:48 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350, v.2.1

Web interface last modified: Apr 30, 2009 v.2.1

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# Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Wed May 18 04:05:43 EDT 2011

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# Record 1 out of 1

TARR Status ASSIGN Status TTAB Status TOR ( Use the "Back" button of the Internet

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Goods and Services

IC 036. US 100 101 102. G & S: Insurance underwriting services [ for all types of insurance, ] \* in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; \* insurance claims services and administration [, ] \*; \* insurance claims processing [, ] insurance claims adjusting [, ] \*; \* insurance agency and brokerage \* services \* [, ] \*, namely, policyholder services and services to agents and brokers; \* providing information in insurance matters [, ]\*; \* risk management and risk management consultation. FIRST USE: 19610000, FIRST USE IN COMMERCE: 19610000

Mark Drawing

Code

(2) DESIGN ONLY

**Design Search** 

Code

10.03.03 - Parasols; Umbrellas

**Trademark** 

**Search Facility** 

ART-10.03 Fans; canes; umbrellas

Classification Code

SHAPES-MISC Miscellaneous shaped designs

**Serial Number** 

77131466

**Filing Date** 

March 15, 2007

**Current Filing Basis** 

1A

**Original Filing** 

**Basis** 

1A

**Published for** Opposition

February 12, 2008

Change In

Registration

CHANGE IN REGISTRATION HAS OCCURRED

Registration

Number

3417048

Registration

April 29, 2008

Date

**Owner** 

(REGISTRANT) The Travelers Indemnity Company CORPORATION CONNECTICUT One Tower Square Hartford CONNECTICUT 06183

Attorney of

Record

Robin R. Fuller

Prior

Registrations

1161313

**Description of** 

Color is not claimed as a feature of the mark. The mark consist of an upright, open umbrella with handle

Mark

turned to left.

Type of Mark

SERVICE MARK **PRINCIPAL** 

Register Live/Dead

Indicator

LIVE

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Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2011-05-18 15:49:02 ET

Serial Number: 77131466 Assignment Information Trademark Document Retrieval

**Registration Number: 3417048** 

#### Mark



Standard Character claim: No

Current Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Date of Status: 2008-04-29

Filing Date: 2007-03-15

Transformed into a National Application: No

Registration Date: 2008-04-29

Register: Principal

Law Office Assigned: LAW OFFICE 107

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

**Current Location:** 830 -Post Registration

Date In Location: 2009-12-10

## LAST APPLICANT(S)/OWNER(S) OF RECORD

1. The Travelers Indemnity Company

#### Address:

The Travelers Indemnity Company One Tower Square Hartford, CT 06183 United States Legal Entity Type: Corporation

State or Country of Incorporation: Connecticut

#### **GOODS AND/OR SERVICES**

International Class: 036 Class Status: Active

Insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; insurance claims services and administration; insurance claims processing insurance claims adjusting; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; risk management and risk management consultation

Basis: 1(a)

First Use Date: 1961-00-00

First Use in Commerce Date: 1961-00-00

#### ADDITIONAL INFORMATION

Color(s) Claimed: Color is not claimed as a feature of the mark.

**Description of Mark:** The mark consist of an upright, open umbrella with handle turned to left.

Design Search Code(s):

10.03.03 - Parasols; Umbrellas

Prior Registration Number(s):

1161313

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2011-04-26 - Notice Of Suit

2010-03-30 - Notice Of Suit

2009-10-20 - Section 7 amendment issued

2009-08-01 - Section 7 amendment issued

2009-07-22 - Assigned To Paralegal

2009-07-10 - TEAS Section 7 Request Received

2008-04-29 - Registered - Principal Register

2008-02-12 - Published for opposition

2008-01-23 - Notice of publication

2008-01-04 - Law Office Publication Review Completed

2008-01-03 - Approved for Pub - Principal Register (Initial exam)

2008-01-03 - Teas/Email Correspondence Entered

2008-01-02 - Communication received from applicant

2008-01-02 - TEAS Response to Office Action Received

2007-07-03 - Non-final action mailed

2007-07-02 - Non-Final Action Written

2007-06-26 - Assigned To Examiner

2007-04-12 - Applicant amendment prior to exam entered

2007-05-07 - Assigned To LIE

2007-04-12 - TEAS Voluntary Amendment Received

2007-03-20 - Notice Of Design Search Code Mailed

2007-03-19 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Robin R. Fuller

## Correspondent

ROBIN R. FULLER TRAVELERS 385 WASHINGTON ST SAINT PAUL, MN 55102-1309 Phone Number: 651-310-4978

Fax Number: 651-310-6306





# Assignments on the Web > Trademark Query

# No assignment has been recorded at the USPTO

For Serial Number: 77131466

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1 Web interface last modified: Apr 30, 2009 v.2.1

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# Trademarks > Trademark Electronic Search System (TESS)

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Goods and Services IC 036. US 100 101 102. G & S: Insurance underwriting services [ for all types of insurance, ] \* in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; \* insurance claims services and administration [, ] \* ; \* insurance claims processing [, ] \* ; \* insurance claims adjusting [, ] \* ; \* insurance agency and brokerage \* services, namely, policyholder services and services to agents and brokers; \* [, ] providing information in insurance matters[, ] \* ; 8 risk management and risk management consultation. FIRST USE: 19610000. FIRST USE IN COMMERCE: 19610000

Mark Drawing

Code

(2) DESIGN ONLY

**Design Search** 

Code

10.03.03 - Parasols; Umbrellas

**Trademark** 

Search Facility

ART-10.03 Fans; canes; umbrellas

Classification SHAPES-COLORS-1 Design listing or lined for a single color

Code

Serial Number

77131469

Filing Date

March 15, 2007

**Current Filina** 

1A

Basis

Original Filing Basis

1A

Published for Opposition

February 12, 2008

Change In Registration

e in Ch

CHANGE IN REGISTRATION HAS OCCURRED

Registration

Number

3417049

Registration

April 29, 2008

Date

Owner

(REGISTRANT) The Travelers Indemnity Company CORPORATION CONNECTICUT One Tower Square

Hartford CONNECTICUT 06103

Attorney of

Record

Robin R. Fuller

Prior

Registrations

**Description of** Mark

1161313

The color(s) red is/are claimed as a feature of the mark. The mark consists of an upright, open umbrella with handle turned to the left.

Type of Mark

SERVICE MARK

Register

**PRINCIPAL** 

Live/Dead Indicator

LIVE

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Serial Number: 77131469 Assignment Information Trader

Trademark Document Retrieval

Registration Number: 3417049



Standard Character claim: No

Current Status: Registered. The registration date is used to determine when post-registration maintenance

documents are due.

**Date of Status: 2008-04-29** 

Filing Date: 2007-03-15

Transformed into a National Application: No

Registration Date: 2008-04-29

Register: Principal

Law Office Assigned: LAW OFFICE 107

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

**Current Location:** 830 -Post Registration

Date In Location: 2009-09-28

## LAST APPLICANT(S)/OWNER(S) OF RECORD

1. The Travelers Indemnity Company

#### Address:

The Travelers Indemnity Company One Tower Square Hartford, CT 06103 United States Legal Entity Type: Corporation

State or Country of Incorporation: Connecticut

#### GOODS AND/OR SERVICES

International Class: 036 Class Status: Active

Insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, life and reinsurance; insurance claims services and administration; insurance claims processing; insurance claims adjusting; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; 8 risk management and risk management consultation

Basis: 1(a)

First Use Date: 1961-00-00

First Use in Commerce Date: 1961-00-00

#### ADDITIONAL INFORMATION

Color(s) Claimed: The color(s) red is/are claimed as a feature of the mark.

Description of Mark: The mark consists of an upright, open umbrella with handle turned to the left.

Design Search Code(s):

10.03.03 - Parasols: Umbrellas

**Prior Registration Number(s):** 

1161313

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2011-04-26 - Notice Of Suit

2010-03-30 - Notice Of Suit

2009-08-01 - Section 7 amendment issued

2009-07-22 - Assigned To Paralegal

2009-07-10 - TEAS Section 7 Request Received

2008-04-29 - Registered - Principal Register

2008-02-12 - Published for opposition

2008-01-23 - Notice of publication

2008-01-04 - Law Office Publication Review Completed

2008-01-03 - Approved for Pub - Principal Register (Initial exam)

2008-01-02 - Teas/Email Correspondence Entered

2008-01-02 - Communication received from applicant

2008-01-02 - TEAS Response to Office Action Received

2007-07-03 - Non-final action mailed

2007-07-02 - Non-Final Action Written

2007-06-26 - Assigned To Examiner

2007-04-12 - Applicant amendment prior to exam entered

2007-05-07 - Assigned To LIE

2007-04-12 - TEAS Voluntary Amendment Received

2007-03-20 - Notice Of Design Search Code Mailed

2007-03-19 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Robin R. Fuller

#### Correspondent

ROBIN R. FULLER TRAVELERS 385 WASHINGTON STREET MC515A

ST. PAUL, MN 55102

Phone Number: 651-310-4978 Fax Number: 651-310-6306





#### Assignments on the Web > Trademark Query

#### No assignment has been recorded at the USPTO

For Serial Number: 77131469

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#### Trademarks > Trademark Electronic Search System (TESS)

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Word Mark

**TRAVELERS** 

Goods and Services

IC 035. US 100 101 102. G & S: Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance: business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services. FIRST USE: 20070227. FIRST USE IN COMMERCE: 20070227

IC 036. US 100 101 102. G & S: [ Insurance and financial services, namely, ] insurance underwriting services [ for all types of insurance, ] \* in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; \*vclaims adjustment in the field of insurance [, ] \*; \* insurance claims processing and administration; risk control and loss prevention consultation; risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services \*, namely, policyholder services and services to agents and brokers \*; providing information in insurance matters; and medical care coordination, namely, assisting employers and providers in processing medical claim forms and payment of medical claims. FIRST USE: 20070227. FIRST USE IN COMMERCE: 20070227

IC 041. US 100 101 107. G & S: Educational services, namely, providing training programs in the field of insurance. FIRST USE: 20070227. FIRST USE IN COMMERCE: 20070227

IC 042. US 100 101. G & S: Providing on-line non-downloadable software in the field of insurance. FIRST USE: 20070227, FIRST USE IN COMMERCE: 20070227

Mark Drawing Code

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

**Design Search** Code

10.03.03 - Parasols: Umbrellas

Trademark

**Search Facility** 

Classification

ART-10.03 Fans; canes; umbrellas

Code

**Serial Number** 

**Filing Date** 

77146213 April 2, 2007

**Current Filing** 

**Basis** 

**1A** 

**Original Filing** 

Basis

1A

**Published for** 

Opposition

March 11, 2008

Change In

Registration

CHANGE IN REGISTRATION HAS OCCURRED

Registration

Number

3494647

Registration Date

September 2, 2008

Owner

(REGISTRANT) The Travelers Indemnity Company CORPORATION CONNECTICUT One Tower Square

Hartford CONNECTICUT 06183

Attorney of Record

Robin Ramswick Fuller

**Prior** 

Mark

Registrations

1161313;1611053;2438690

**Description of** 

Color is not claimed as a feature of the mark. The mark consists of the word "Travelers" with an umbrella

at the end of the word.

Type of Mark

SERVICE MARK

Register

**PRINCIPAL** 

Live/Dead Indicator

LIVE

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Serial Number: 77146213 Assignment Information Trademark Document Retrieval

**Registration Number: 3494647** 

Mark



(words only): TRAVELERS

Standard Character claim: No

Current Status: Registered. The registration date is used to determine when post-registration maintenance

documents are due.

Date of Status: 2008-09-02

Filing Date: 2007-04-02

Transformed into a National Application: No

Registration Date: 2008-09-02

Register: Principal

Law Office Assigned: LAW OFFICE 107

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 830 -Post Registration

Date In Location: 2009-09-28

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. The Travelers Indemnity Company

#### Address:

The Travelers Indemnity Company One Tower Square

Hartford, CT 06183 United States

Legal Entity Type: Corporation

State or Country of Incorporation: Connecticut

#### GOODS AND/OR SERVICES

International Class: 035 Class Status: Active

Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

International Class: 036 Class Status: Active

insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; velaims adjustment in the field of insurance; insurance claims processing and administration; risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; and medical care coordination, namely, assisting employers and providers in processing medical claim forms and payment of medical claims

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

International Class: 041 Class Status: Active

Educational services, namely, providing training programs in the field of insurance

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

International Class: 042 Class Status: Active

Providing on-line non-downloadable software in the field of insurance

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

#### ADDITIONAL INFORMATION

Color(s) Claimed: Color is not claimed as a feature of the mark.

**Description of Mark:** The mark consists of the word "Travelers" with an umbrella at the end of the word.

#### Design Search Code(s):

10.03.03 - Parasols; Umbrellas

#### **Prior Registration Number(s):**

1161313 1611053 2438690

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2011-04-26 - Notice Of Suit

2010-03-30 - Notice Of Suit

2009-08-01 - Section 7 amendment issued

2009-07-22 - Assigned To Paralegal

2009-07-10 - TEAS Section 7 Request Received

2008-09-02 - Registered - Principal Register

2008-07-25 - Extension Of Time To Oppose Process - Terminated

2008-04-09 - Extension Of Time To Oppose Received

2008-03-11 - Published for opposition

2008-02-20 - Notice of publication

2008-02-02 - Law Office Publication Review Completed

2008-02-02 - Assigned To LIE

2007-12-21 - Approved for Pub - Principal Register (Initial exam)

2007-12-21 - Examiner's amendment mailed

2007-12-21 - Examiner's Amendment Entered

2007-12-21 - Examiners Amendment -Written

2007-12-20 - Teas/Email Correspondence Entered

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2007-06-27 - Non-final action mailed

2007-06-26 - Non-Final Action Written

2007-06-26 - Assigned To Examiner

2007-04-06 - Notice Of Design Search Code Mailed

2007-04-05 - New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Robin Ramswick Fuller

#### Correspondent

ROBIN RAMSWICK FULLER THE TRAVELERS COMPANIES, INC. 385 WASHINGTON ST SAINT PAUL, MN 55102-1309 Phone Number: 651-310-4978

Fax Number: 651-310-6306





#### Assignments on the Web > Trademark Query

### No assignment has been recorded at the USPTO

For Serial Number: 77146213

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1

Web interface last modified: Apr 30, 2009 v.2.1

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#### **United States Patent and Trademark Office**

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#### Trademarks > Trademark Electronic Search System (TESS)

TESS was last updated on Wed May 18 04:05:43 EDT 2011

TESS HOME NEW USER STRUCTURED PREE FORM BROWN DICT SEARCH OG BOTTOM

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#### Record 1 out of 1

TARR Status

ASSIGN Status

TDR

TTAB Status

( Use the "Back" button of the Internet

Browser to return to TESS)

# VEI FR

Goods and Services

IC 035, US 100 101 102, G & S: Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services. FIRST USE: 20070227. FIRST USE IN COMMERCE: 20070227

IC 036, US 100 101 102, G & S: [ Insurance and financial services, namely, ] insurance underwriting services [ for all types of insurance, ] \* in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; \* claims adjustment in the field of insurance, insurance claims processing and administration, risk control and loss prevention consultation; risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services \*, namely, policyholder services and services to agents and brokers \*; providing information in insurance matters; and medical care coordination, namely, assisting employers and providers in processing medical claim forms and payment of medical claims. FIRST USE: 20070227. FIRST USE IN COMMERCE: 20070227

IC 041, US 100 101 107, G & S: Educational services, namely, providing training programs in the field of insurance. FIRST USE: 20070227. FIRST USE IN COMMERCE: 20070227

IC 042. US 100 101. G & S: Providing on-line non-downloadable software in the field of insurance. FIRST USE: 20070227, FIRST USE IN COMMERCE: 20070227

**Mark Drawing** Code

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

**Design Search** Code

10.03.03 - Parasols; Umbrellas

**Trademark** 

ART-10.03 Fans; canes; umbrellas

**Search Facility** 

SHAPES-COLORS-2 Design listing or lined for two colors

Classification Code

SHAPES-MISC Miscellaneous shaped designs

**Serial Number** 

77146226

**Filing Date** 

April 2, 2007

**Current Filing** 

**Original Filing** 

Basis

**Basis** 

1A

**Published for** 

Opposition

March 11, 2008

Change In

Registration

CHANGE IN REGISTRATION HAS OCCURRED

Registration

Number

3494648

Registration

Date

September 2, 2008

Owner

(REGISTRANT) The Travelers Indemnity Company CORPORATION CONNECTICUT One Tower Square

Hartford CONNECTICUT 06183

Attorney of Record

Robin Ramswick Fuller

**Prior** 

Registrations

1161313;1611053;2438690

Description of

Mark

The color(s) black and red is/are claimed as a feature of the mark. The mark consists of the word

"Travelers" in black with a red umbrella at the end of the word.

Type of Mark

SERVICE MARK

Register

**PRINCIPAL** 

Live/Dead

Indicator

LIVE

TESS HOME NEW USER STRUCTURED FREE FORM BROWN DIE I SEARCH OG

TOP

HELP

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#### Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2011-05-18 15:50:52 ET

Serial Number: 77146226 Assignment Information Trademark Document Retrieval

Registration Number: 3494648

Mark



(words only): TRAVELERS

Standard Character claim: No

Current Status: Registered. The registration date is used to determine when post-registration maintenance

documents are due.

Date of Status: 2008-09-02

Filing Date: 2007-04-02

Transformed into a National Application: No

Registration Date: 2008-09-02

Register: Principal

Law Office Assigned: LAW OFFICE 107

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 830 -Post Registration

Date In Location: 2009-10-29

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. The Travelers Indemnity Company

#### Address:

The Travelers Indemnity Company One Tower Square

Hartford, CT 06183

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Connecticut

#### **GOODS AND/OR SERVICES**

**International Class:** 035 **Class Status:** Active

Promoting the sale of goods and/or services of others through the development and distribution of printed material; direct mail marketing services for others in the field of insurance; business counseling in regard to cost management; employment rehabilitation services, namely, medical cost control management, business consultation in the field of workers' compensation, employee benefits and employment return-to-work placement services

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

International Class: 036 Class Status: Active

insurance underwriting services in the fields of property and casualty, fire, allied lines, farmowners multiple peril, homeowners multiple peril, commercial multiple peril, ocean marine, inland marine, earthquake, workers' compensation, other liability, product liability, private passenger auto liability, commercial auto liability, auto physical damage, fidelity, surety, burglary and theft, boiler and machinery, and reinsurance; claims adjustment in the field of insurance, insurance claims processing and administration, risk control and loss prevention consultation; risk control and loss prevention services, namely, risk management; electronic processing of insurance claims and payment data; insurance agency and brokerage services, namely, policyholder services and services to agents and brokers; providing information in insurance matters; and medical care coordination, namely, assisting employers and providers in processing medical claim forms and payment of medical claims

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

International Class: 041 Class Status: Active

Educational services, namely, providing training programs in the field of insurance

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

International Class: 042 Class Status: Active

Providing on-line non-downloadable software in the field of insurance

Basis: 1(a)

First Use Date: 2007-02-27

First Use in Commerce Date: 2007-02-27

#### **ADDITIONAL INFORMATION**

Color(s) Claimed: The color(s) black and red is/are claimed as a feature of the mark.

**Description of Mark:** The mark consists of the word "Travelers" in black with a red umbrella at the end of the word.

#### Design Search Code(s):

10.03.03 - Parasols; Umbrellas

#### **Prior Registration Number(s):**

1161313 1611053 2438690

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2011-04-26 - Notice Of Suit

2010-03-30 - Notice Of Suit

2010-03-10 - Review Of Correspondence Complete

2010-03-10 - Case Assigned To Post Registration Paralegal

2009-09-15 - Section 7 amendment issued

2009-07-22 - Assigned To Paralegal

2009-07-10 - TEAS Section 7 Request Received

2008-09-02 - Registered - Principal Register

2008-07-25 - Extension Of Time To Oppose Process - Terminated

2008-04-09 - Extension Of Time To Oppose Received

2008-03-11 - Published for opposition

2008-02-20 - Notice of publication

2008-02-02 - Law Office Publication Review Completed

2008-02-02 - Assigned To LIE

2007-12-21 - Approved for Pub - Principal Register (Initial exam)

2007-12-21 - Examiner's amendment mailed

2007-12-21 - Examiner's Amendment Entered

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385 WASHINGTON ST
MAIL CODE NB15A
SAINT PAUL, MN 55102-1309
Phone Number: 651-310-4978
Fax Number: 651-310-6306



#### Assignments on the Web > Trademark Ouerv

#### No assignment has been recorded at the USPTO

For Serial Number: 77146226

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#### Consolidated Notice of Opposition Serial Nos. 85072244, 85072300, and 85072426

## **Exhibit B**





## Travelers Wrap → \*\* Identity Fraud Expense Reimbursement Coverage Checklist

#### Why your clients need our protection

Identity fraud is one of the fastest growing crimes in the country today, impacting several million people per year. According to the 2010 Identity Fraud Survey report released by the Better Business Bureau and Javelin Strategy & Research, about 11 million people were victims of identity theft during 2009. This means that one in every 28 consumers fell victim to the crime, with total out-of-pocket expenses exceeding \$4.9 billion.\*

Generally, banks or credit card companies will reimburse identity theft victims for their direct financial loss. However, most identity theft victims are not reimbursed for the hundreds of hours and thousands of dollars in out-of-pocket expenses they may incur in re-establishing their credit and clearing their names. The hard reality is that victims must painstakingly prove — often to disbelieving creditors — that the debts are not their own.

Travelers Bond & Financial Products pioneered identity fraud expense reimbursement coverage in 1999. Travelers Wrap+ identity fraud expense reimbursement coverage is designed to allow commercial businesses or non-profit organizations to provide their employees with fraud expense coverage, which not only pays for expenses associated with clearing up the victim's credit, but also gives them the information and guidance they need to resolve the problem. Coverage may also be available under a separate identity fraud expense reimbursement master policy, for a company's customers.

	Wrap*	Their Policy		Wгар⊁	Their Policy
Lost wages — up to a maximum payment of \$1,000 per week for a maximum period of five weeks, as a result of absence from employment, including for wrongful incarceration	✓		Fees for the re-application and re-issuance of government issued personal identification documentation — including passports, commercial and non-commercial drivers licenses, state and federal personal identification cards,	<b>√</b>	
Attorney's fees — with Travelers' prior consent, including:	_		and social security cards, compromised as a result of identity fraud		
<ul> <li>Removing criminal or civil judgments wrongly entered against the victim</li> <li>Challenging information in a credit report</li> <li>Defending suits brought incorrectly by</li> </ul>	✓ ✓		Fees charged for copies of medical records — including x-rays, obtained solely for the purpose of investigating medical-related identity fraud	✓	
merchants or their collection agencies  • Pursuing the release of medical records solely for the purpose of investigating medical-related identity fraud	✓		<b>Dedicated identity fraud claim unit</b> — experts who can provide guidance to insured victim to assist in the recovery of their identity and credit history	✓	
<ul> <li>Contesting wrongfully incurred tax liability; or</li> <li>Contesting the wrongful transfer of ownership of an insured person's tangible property</li> </ul>	<b>*</b>				

## Travelers Wrap≁\* Identity Fraud Expense Reimbursement Coverage Checklist

	Wrap⊁	Their Policy
Reasonable costs for travel and accommodations incurred by the insured person — up to a maximum payment of \$1,000 per week for a maximum period of five weeks, to:		
<ul> <li>Participate in the defense of lawsuits brought against the insured person by financial institutions, healthcare providers, merchants, other credit grantors or their collection agencies</li> </ul>	✓	
<ul> <li>Challenge the accuracy or completeness of any information in a consumer credit report</li> <li>Participate in the criminal prosecution of the perpetrators of the identity fraud, or</li> <li>File in person loss affidavits and civil or criminal complaints with local law enforcement in the jurisdiction in which the identity fraud occurred, as required by local law</li> </ul>	√ √ √	
Family coverage — including residents of the insured person's household provided they are either a spouse, child under the age of 18 or parent	✓	
Daycare and eldercare expenses — incurred solely as a direct result of any identity fraud discovered during the policy period	✓	
Coverage for thefts committed by family members	✓	
Insureds choose their own attorney	✓	
Limit options — ranging from \$1,000 to \$25,000	✓	
Retention options — ranging from \$0 to \$250	✓	

# Travelers Wrap Help your clients get the protection they need, today and tomorrow

#### **Identity theft resolution services**

Identity theft resolution services are available for an additional premium. These services are provided by Intersections Inc. (www.intersections.com/aboutus.asp), whose experienced fraud team works closely with victims to learn about the incident, document the case, advise on case resolution and support victims by providing written correspondence that will help expedite resolution of their situation.

Through the Identity Theft Recovery Unit, Intersections performs the following activities to assist the victim in the event of an actual identity theft:

- · Obtains a 3-in-1 credit report to review with the victim
- Documents event and contact history with the victim
- At the victim's request, assists the victim in placing fraud alerts with major credit reporting agencies
- Provides contact information for all future calls
- Completes dispute letters on behalf of the victim for approval and signing
- Enrolls the victim in six months of daily credit monitoring
- Provides the victim with a Fraud First Aid Kit which includes:
  - Tips for fraud victims
  - Credit reporting agency information
  - Contact history tracking template
  - Pre-populated letters to creditors



Travelers Casualty and Surety Company of America and its property casualty affiliates One Tower Square Hartford, CT 06183 This material does not amend, or otherwise affect, the provisions or coverages of any insurance policy or bond issued by Travelers. It is not a representation that coverage does or does not exist for any particular claim or loss under any such policy or bond. Coverage depends on the facts and circumstances involved in the claim or loss, all applicable policy or bond provisions, and any applicable law. Availability of coverage referenced in this document can depend on underwriting qualifications and state regulations.

travelersbond.com

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<sup>\*</sup>Source: idsafety.net/report.html